

UTILITY PATENT APPLICATION TRANSMITTAL

(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
PO9-99-159

Total Pages in this Submission

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

DYNAMICALLY REDISTRIBUTING SHAREABLE RESOURCES OF A COMPUTING ENVIRONMENT TO MANAGE THE WORKLOAD OF THAT ENVIRONMENT

and invented by:

Jeffrey P. Kubala, Jeffrey M. Nick, Peter B. Yocom

1c518 U.S. PRO
09/28/99
09/28/99

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 87 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☒ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Microfiche Appendix (if applicable)
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if drawings filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

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Application Elements (Continued)

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☐ Formal Number of Sheets _____
- b. ☒ Informal Number of Sheets 22
4. ☒ Oath or Declaration
- a. ☐ Newly executed *(original or copy)* ☒ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied
under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.
6. ☐ Computer Program in Microfiche *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☐ Assignment Papers *(cover sheet & document(s))*
9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail *(Specify Label No.):* EL424536236US

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Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. ☐ Additional Enclosures (please identify below):

Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	65	- 20 =	45	x \$18.00	\$810.00
Indep. Claims	8	- 3 =	5	x \$78.00	\$390.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$760.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$1,960.00

- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0463 (IBM) as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$1,960.00 as filing fee.
 - ☒ Credit any overpayment.
 - ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
 - ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Blanche E. Schiller
Signature

Blanche E. Schiller, Esq.
Reg. No. 35,670
HESLIN & ROTHENBERG, P.C.
5 Columbia Circle
Albany, NY 12203
Telephone (518) 452-5600
Facsimile (518) 452-5579

Dated: September 28, 1999

CC:

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

In Re Application of: Kubala et al.

Title: DYNAMICALLY REDISTRIBUTING SHAREABLE RESOURCES OF A
COMPUTING ENVIRONMENT TO MANAGE THE WORKLOAD OF
THAT ENVIRONMENT

Attorney Docket No.: PO9-99-159 (Our Ref. No. 0560.279)

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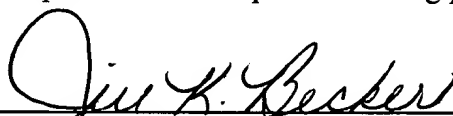
Date of Deposit September 28, 1999

I hereby certify that this paper is being deposited with the U.S. Postal Service
"Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date
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BOX PATENT APPLICATION
ASSISTANT COMMISSIONER OF PATENTS
WASHINGTON, D.C. 20231

JILL K. BECKER

(Typed or printed name of person mailing paper or fee)


(Signature of person mailing paper or fee)

- * U.S. Patent Application which includes:
Specification (~~68~~ 65 pages), ~~18~~ 15 Claims (~~18~~ 15 pages), Abstract (1 page), and
(22) sheets of Drawings
- * Utility Patent Application Transmittal Letter (3 pages) (in duplicate)
- * Declaration and Power of Attorney for Patent Application (4 pages)
- * Two (2) Acknowledgment Postcards